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ATTORNEY DOCKET NO. W1200-00038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Donald D. LaNeve**

Serial No.: 10/034,069

Filing Date: 12/27/2001

For: **WAGERING INTERFACE SYSTEM AND METHOD**

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

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<p>CERTIFICATE OF MAILING, 37 C.F.R. §1.8(a) I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.</p> <p><i>Joseph A. Powers</i> _____ Joseph A. Powers, Reg. No. 47,006 7/15/04 _____ Date</p>
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under § 1.113 or Notice of Allowance under § 1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under § 1.113 or Notice of Allowance under § 1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§ 1.97 and 1.98, the following requirements have been met:

- ☐ No separate requirements are needed.
- ☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$240.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either

- (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

☒ A copy of each of the references listed on the attached Form PTO/SB/08A,B is enclosed herewith and forms a part hereof.

☐ A partial translation of Reference ____ is enclosed herewith and forms a part hereof.

☐ A copy of the Search Report from a corresponding or related German application is enclosed herewith.

☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith, namely, _____.

Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application Serial No. _____, filed on _____. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

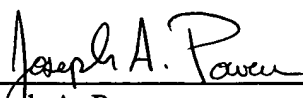
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ATTORNEY DOCKET NO. W1200-00038

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to **Deposit Account No. 04-1679**. A duplicate copy of this transmittal is attached.

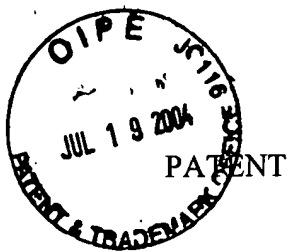
Respectfully submitted,

Date: 7/15/04



Joseph A. Powers
Registration No. 47,006
Duane Morris, LLP
One Liberty Place
Philadelphia, PA 19103-7396
(215) 979-1842

Docket No: W1200-00038



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the Duty of Disclosure set forth in 37 CFR 1.56, the materials listed on the enclosed form PTO/SB/08 are hereby brought to the attention of the Examiner. A copy of each is enclosed.

The "Motley Fool" at "Fool.com" reference was cited in U.S. Patent Application Serial No. 09/911,932 filed July 24, 2001, now abandoned.

The claimed invention is believed patentable over the enclosed references. No representations are made regarding the references other than those set forth above.

Respectfully submitted,

Date: 7/15/04

Joseph A. Powers

Joseph A. Powers
Registration No. 47,006
Duane Morris LLP
One Liberty Place
Philadelphia, PA 19103-7396
(215) 979-1842

Docket No: W1200-00038



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

Complete if Known

Application Number	10/034,069
Filing Date	12-27-2001
First Named Inventor	Donald D. LaNeve
Art Unit	3713
Examiner Name	Not Yet Known
Attorney Docket Number	W1200-00038

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	DA	US- 5,991,756	11-23-1999	Wu	
	DB	US- 6,089,981	07-18-2000	Brenner et al.	
	DC	US- 6,099,409	08-08-2000	Brenner et al.	
	DD	US- 6,260,019	07-10-2001	Courts	
	DE	US- 6,266,659	07-24-2001	Nadkarni	
	DF	US- 6,554,708	04-29-2003	Brenner et al.	
	DG	US- 6,554,709	04-29-2003	Brenner et al.	
	DH	US- 2001/0039209	11-08-2001	DeWeese et al.	
	DI	US- 2001/0047291	11-29-2001	Garahi et al.	
	DJ	US- 2001/0051540	12-13-2001	Hindman et al.	
	DK	US- 2002/0032677	03-14-2002	Morgenthaler et al.	
	DL	US- 2002/0049975	04-25-2002	Thomas et al.	
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FOREIGN PATENT DOCUMENTS

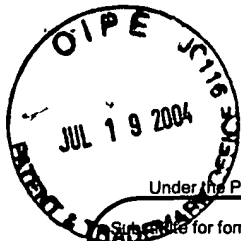
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	DM	The Motley Fool, Fool.com, stock portfolio web page © 1998-2003 BigCharts.com Inc. (2 pages) [http://portfolios.fool.com/custom/fool-com/portfolio]	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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